

Tumor Profiling Requisition – International



Phone: 00 41 21 533 53 00 | Fax: 00 41 21 533 53 01 | Email: InternationalSupport@CarisLS.com. Please complete and return by fax or email.

Customer Support may contact your office for additional information.

TREATING ONCOLOGIST INFORMATION			PATIENT INFORMATION			
Name	Caris Account Number/ Distributor		Last Name	First Name	MI	
Physician Email	Office Contact Name		In-Office Medical Record Number	DOB	Biological Sex <input type="checkbox"/> M <input type="checkbox"/> F	Ethnicity
Office/Hospital Name	Address		Address			
City	Country	Postal Code	City	Country	Postal Code	
Phone	Fax		Phone	Work Phone or Email		

PATHOLOGY INFORMATION		
Pathology Services/Specimen Storage Location	Address/Suite	
City	Country	Postal Code
Phone	Fax	

BILLING INFORMATION
<input type="checkbox"/> Self-pay: Payment is required before testing starts. Caris Customer Support will contact the patient directly to agree payment terms.
<input type="checkbox"/> Health Insurance: A reimbursement request has been sent to patient's health insurance. Insurance Company: _____ Policy #: _____ Pre-Authorisation / Authorisation #: _____ (if available)
<input type="checkbox"/> Hospitals/Clinics: Institution will be billed after testing has been performed.
<input type="checkbox"/> Other, please specify: _____

CLINICAL/SPECIMEN INFORMATION (Include a copy of the pathology report and medical records that support the need for testing)	
Diagnosis	Clinical Stage <input type="checkbox"/> 0 <input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV
Primary Tumor Site	Specimen Site (anatomical location)
Specimen Collection Location (Place of Service) <input type="checkbox"/> Hospital Inpatient: Discharge Date _____ <input type="checkbox"/> Hospital Outpatient: Discharge Date _____ <input type="checkbox"/> Office/ASC <input type="checkbox"/> Other: _____	Most Recent Specimen <input type="checkbox"/> Yes <input type="checkbox"/> No
Facility Name Where Procedure Performed	Collection Date & Time (Month Day Year)
Specimen/Block ID#(s)	Date Removed from Storage (Month Day Year)

CARIS MOLECULAR PROFILING	
To order, please select from the options below. The biomarkers included in the options below may change from time-to-time. Before ordering, please refer to the website, www.CarisLifeSciences.com/profiling-menu, to view the definitive list of available biomarkers and the specific biomarkers analyzed by tumor type.	
TUMOR PROFILING OPTIONS (Choice required). If the specimen is insufficient to perform the ordered tests, limited tissue testing recommendations by Caris pathologists will be performed unless otherwise indicated in the special instructions section or by providing specific instructions in advance to Caris Customer Support.	
MI Profile™ Comprehensive Testing <input type="checkbox"/> MI Tumor Seek Hybrid™ + IHCs and Other Tests by Tumor Type Tissue-based WES and WTS analysis, plus additional tumor-type relevant biomarker testing (IHC, ISH, etc. – see website for testing list). Caris FOLFIRSTai™ reported for mCRC cases. <input type="checkbox"/> Include Caris GPSai™ reporting for cancer type similarity assessment.	Next-Generation Sequencing Only <input type="checkbox"/> MI Tumor Seek Hybrid™ Tissue-based WES and WTS analysis. Caris FOLFIRSTai™ reported for mCRC cases. <input type="checkbox"/> Include Caris GPSai™ reporting for cancer type similarity assessment.
SPECIAL INSTRUCTIONS/ADDITIONAL PHYSICIAN INFO (name, email, fax): 	

ATTESTATION & PATIENT CONSENT
This requisition constitutes an order for molecular testing from Caris MPI, Inc. (Caris) I certify (a) the services are medically necessary and will assist me in treating my patient, (b) the patient has sufficient performance status to receive additional treatment, (c) I will make available patient medical records documenting the foregoing, and (d) I supplied information to the patient regarding this testing, explained the purpose of this testing to the patient, and obtained informed consent for (i) such testing, (ii) any analysis and reports related to such testing, (iii) Caris to retain testing results, samples and related information and analysis, (iv) Caris' use or disclosure (including to third parties) of deidentified information generated from such testing for general research and other purposes, and (v) Caris' disclosure of testing results and information to third-party payers in connection with such testing.
Authorized Provider Signature:
Provider Name (Print):
Date:

FINAL REPORT WILL BE DELIVERED IN ENGLISH. PLEASE SEE THE REVERSE FOR PATIENT CONSENT REQUIREMENTS AND OPTIMAL SPECIMEN REQUIREMENTS. TERMS AND CONDITIONS APPLY.

Acknowledgment of Consent

By submitting this requisition, you, as the patient's physician, represent and verify that the patient has provided clear, unambiguous and explicit consent to send the patient's specimen and sensitive medical and other personal information to Caris Life Sciences, and to transfer that information to the United States for processing. Additionally, you represent that, as applicable to provisioning of this service, you and your office have complied with all applicable national and local privacy requirements and regulations.

Checklist for Ordering

- Requisition (Completed, Signed and Dated)
- Pathology Report(s)
- Sufficient Tumor Specimen
- Patient Consent Form/Postcard (Completed, Signed and Dated)

Formalin Fixed Paraffin Embedded (FFPE) Samples

Sufficient tumor ($\geq 20\%$ tumor nuclei) must be present to complete all analysis. If you have any questions, please contact Customer Support at 00 800 12 12 30 30.

SPECIMEN TYPE	SPECIMEN REQUIREMENTS
Fixed Tissue	One (1) tumor-containing formalin fixed paraffin embedded block (FFPE) from most recent surgery or biopsy. Successive four (4) micron sections will be created from the block until sufficient material for the testing orders is obtained. For the molecular analysis, tumor cells will be excised by microdissection.
Unstained Slides	Unstained, positively charged, unbaked slides from one single, tumor-containing formalin fixed paraffin embedded block; 4 micron sections. <ul style="list-style-type: none"> • Tumor content: $\geq 20\%$ tumor nuclei • MI Tumor Seek Hybrid™: 10 slides; 25 slides if ordering additional tumor-specific testing (IHC, ISH, etc.) Note: Specimens with a smaller tumor area may require additional specimen to be submitted.
Core Needle Biopsy	Four to six (4-6) biopsies with 18 gauge needle preferred. Six to ten (6-10) biopsies with 22 gauge needle accepted. (Preparation in 10% neutral buffered formalin.)
Fine Needle Aspirate (FNA)	One (1) formalin fixed paraffin embedded block containing sufficient tumor. Please do NOT use non-formalin-based fixatives, including alcohol-based fixatives.
Malignant Fluid Cell Block	One (1) formalin fixed paraffin embedded cell block containing sufficient tumor (20% or more tumor nuclei). Please do NOT use non-formalin-based fixatives, including alcohol-based fixatives.
Bone/Bone Metastasis	One (1) formalin fixed paraffin embedded block of tumor (primary bone malignancy or metastasis to the bone) decalcified using EDTA based method(s) or non-decalcified specimen.

Insufficient Specimen Quantity – Prioritization of Tests

In the event that a specimen is received with an insufficient quantity of tissue or insufficient percent of tumor required to perform the entire profile or individual tests indicated on the requisition, the Caris pathologist will prioritize and order the appropriate testing unless otherwise indicated by the ordering physician. If limited tissue communication is requested before moving forward with testing, Caris will fax the ordering physician the proposed list of tests. The physician may amend the suggested list to include any tests that are offered within the test menu. The ordering physician should review the proposed list of tests within 48 hours in order to provide timely results. Please note: *turnaround time may be longer for specimens with limited tissue.*

The results for biomarkers tested under this requisition will be provided in a report associating one or more treatment agents to biomarkers based on published medical evidence, which may include published studies performed in the tumour type present in the tested sample or derived from a different tumour type. Decisions regarding care and treatment should not be based solely on selection of a test such as this test or the information provided related to this requisition. Decisions on patient care and treatment must be based on the treating physician's independent medical judgment, taking into consideration all relevant patient information, such as family history, physical examinations, results of other diagnostic tests, and patient preferences, and in accordance with the applicable standard of care. The selection of any or none of the matched agents is ultimately and solely in the discretion of the treating physician. Physician or practitioner hereby acknowledges and agrees to comply with any local, state/provincial, or national laws or regulations, rules or order of any governmental body, having jurisdiction over activities considered under this requisition.